

Race/Ethnicity, Gender, and Monitoring Socioeconomic Gradients in Health: A Comparison of Area-Based Socioeconomic Measures—The Public Health Disparities Geocoding Project

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Despite growing interest in social inequalities in health,^{1–4} routine monitoring of socioeconomic gradients in health in the United States is hampered by a lack of socioeconomic data in most US public health surveillance systems.^{5,6} Notable exceptions include birth and death certificates, which since 1989 have included data on educational level,⁷ and also data obtained from specialized surveys, such as the National Health Interview Survey.⁸ However, surveys are incapable of monitoring important aspects of population health (e.g., cancer incidence, tuberculosis (TB), and childhood lead levels), nor can they readily provide the kinds of routine—and local—data required by health departments, especially on relatively small population subgroups, such as members of diverse racial/ethnic communities.^{5,9}

Reflecting gaps created by unavailable socioeconomic data, the 2002 edition of *Health, United States*,¹⁰ the annual federal publication profiling the health of the nation, lacked socioeconomic data in 85.5% of its 71 tables on “health status and determinants”; virtually all tables, however, were stratified by “sex, race, and Hispanic origin.” Likewise, fully 70% of the 467 US public health objectives for the year 2010 had no socioeconomic targets, given a lack of baseline data.^{11,12} This absence of economic data from routine public health monitoring—equally evident in state health department publications—obscures socioeconomic gradients in health overall and within diverse race/ethnicity–gender groups, as well as the contribution of economic deprivation to racial/ethnic and gender inequalities in health.^{5,6,12–14}

Fortunately, the methodology of geocoding residential addresses and using area-based socioeconomic measures (ABSMs) is a potential and relatively inexpensive solution to the

Use of multilevel frameworks and area-based socioeconomic measures (ABSMs) for public health monitoring can potentially overcome the absence of socioeconomic data in most US public health surveillance systems.

To assess whether ABSMs can meaningfully be used for diverse race/ethnicity–gender groups, we geocoded and linked public health surveillance data from Massachusetts and Rhode Island to 1990 block group, tract, and zip code ABSMs. Outcomes comprised death, birth, cancer incidence, tuberculosis, sexually transmitted infections, childhood lead poisoning, and nonfatal weapons-related injuries.

Among White, Black, and Hispanic women and men, measures of economic deprivation (e.g., percentage below poverty) were most sensitive to expected socioeconomic gradients in health, with the most consistent results and maximal geocoding linkage evident for tract-level analyses. (*Am J Public Health*. 2003;93:1655–1671)

problem of absent or limited socioeconomic data in US public health surveillance systems.^{6,12,15,16} In this approach, which draws on multilevel frameworks and area-based measures, both cases (numerators) and the catchment population (denominators) are classified by the socioeconomic characteristics of their residential area, thereby permitting calculation of rates stratified by the ABSMs.

Although this approach has been employed in US health research for over 75 years,^{17–20} to date there exists no consensus or standard as to which ABSMs, at which level of geography, are best suited for monitoring US socioeconomic inequalities in health.^{6,12,15,20} Nor, to our knowledge, have any investigations systematically assessed, empirically, whether specified ABSMs perform similarly or differently in diverse race/ethnicity–gender groups. Instead, published research has exhibited a remarkable eclecticism regarding choice of geographic level and types of ABSM used, both single variable and composite.^{6,12,21} Although such a plurality of measures may be useful for etiologic research, in the case of monitoring, such heterogeneity impedes comparing results across studies, outcomes, and regions and over time.

We accordingly launched the Public Health Disparities Geocoding Project to ascertain which ABSMs, at which geographic level (census block group, census tract, or zip code), would be most apt for monitoring US socioeconomic inequalities in health. To provide a robust evaluation, guided by ecosocial theory,^{22,23} we designed the study to encompass a wide variety of health outcomes, hypothesizing that some ABSMs and geographic levels might be more sensitive to socioeconomic gradients for some health outcomes than others.

Drawing on 1990 census data and public health surveillance systems of 2 New England states, Massachusetts and Rhode Island, we included 7 types of outcomes: mortality (all cause and cause specific), cancer incidence (all sites and site specific), low birth weight, childhood lead poisoning, sexually transmitted infections, TB, and nonfatal weapons-related injuries.^{24–26} Pertinent a priori considerations, derived in part from Rossi and Gilmartin’s criteria for valid and useful social indicators,²⁷ included (a) external validity (do the measures find gradients in the direction reported in the literature, i.e., positive, negative, or none, and across the full range of the distribution?), (b) robustness (do the measures

detect expected gradients across a wide range of outcomes?), (c) completeness (is the measure relatively unaffected by missing data?), and (d) user-friendliness (how easy is the measure to understand and explain?).

Our initial analyses focused on the total population of each state, with results suggesting that public health monitoring might be judiciously augmented by the use of census tract-level measures of economic deprivation, and specifically the measure “percentage of persons below poverty.”^{24–26} In this investigation, we extend our analyses by examining whether these conclusions hold for diverse race/ethnicity–gender groups.

METHODS

Data Sources: Population and Health Outcomes

As described in our earlier publications,^{24–26} the study base comprised (a) populations and areas in Massachusetts and Rhode Island enumerated at the 1990 census and (b) health outcomes occurring in the period around the 1990 census. We obtained public health surveillance data from the Massachusetts Department of Public Health and the Rhode Island Department of Health for death, birth, cancer incidence, TB, sexually transmitted infections, childhood lead poisoning (Rhode Island only), and nonfatal weapons-related injuries (Massachusetts only).

Data for death, birth, cancer incidence, and childhood lead poisoning (among children 1 to 5 years old) were analyzed for persons. Data for TB, sexually transmitted infections, and nonfatal weapons-related injuries were analyzed for new cases only, since a given individual could experience the specified outcome more than once during the study period; data for lead poisoning were likewise analyzed only for a child’s first record in the study interval, not repeat follow-up tests. Slightly over 760 000 records were included in our final analytic data sets,^{24–26} restricted to records for in-state residents with health outcomes occurring during the specified study interval and not missing data on age, gender, or the specified outcome, plus additional restrictions described below. All records were geocoded to the census block group, census tract, and zip code levels by a

commercial geocoding firm whose accuracy we validated (96%).²⁸

With regard to outcomes, cause of death was categorized according to *International Classification of Diseases, Ninth Revision, Clinical Modification (ICD-9-CM)* codes and cancer type by standard Surveillance, Epidemiology, and End Results (SEER) site/histology definitions.^{24,29–34} Mortality outcomes analyzed included premature mortality (<65 years old) and selected causes of death ranked among the top 5 causes of death in each state within one or more racial/ethnic groups,²⁴ including mortality due to heart disease, neoplasm, diabetes, HIV, and homicide. Incidence of cancer was analyzed for all cancers combined and the 5 leading sites reported nationally³⁴: breast, cervix, colon, lung, and prostate.²⁴

We analyzed births to mothers aged 15 to 55 years; we report results only for singleton births, using the conventional definition of low birthweight as less than 2500 g.^{25,30,35} For Rhode Island’s mandatory universal childhood lead screening program, blood specimens were obtained 2 ways, at the screening physician’s discretion: venous and capillary/fingerstick.^{25,36} Because the latter may be subject to contamination (e.g., lead dust on the pricked finger),³⁷ we analyzed the 2 sample types separately and present only the venous results. Following guidelines issued by the Centers for Disease Control and Prevention (CDC) in 1997,³⁷ elevated blood lead levels were defined as 10 g/dL or above.

Cases included in the sexually transmitted infection databases for both states were identified and reported to the state health department because they (a) were symptomatic patients, (b) sought testing because they were concerned about their exposure (i.e., after unsafe sex), (c) received a complete battery of sexually transmitted infection tests as part of seeking confidential HIV testing, (d) were sexual partners of persons identified as cases, or (e) received testing as part of a routine gynecologic examination.^{26,38,39} Cases in both states’ TB databases were identified and reported to the state health department via designated TB clinics and additional health care providers.^{40,41} Finally, data on nonfatal weapons-related injury (intentional and unintentional) were obtained from the Massachusetts

Department of Public Health’s Weapons-Related Injury Surveillance System, which encompasses all Massachusetts acute care hospital emergency departments.⁴² Fully 97% of the nonfatal weapons-related injuries were intentional; data on whether the injury was intentional or not were obtained from the respondent, if conscious, and otherwise coded as “unknown.”

The 1990 census, the source of our denominators, used self-report data to classify race/ethnicity in accordance with census-defined categories pertaining to “race” and Hispanic “ethnicity”; data on age and gender were also obtained by self-report.⁴³ Data on race/ethnicity, gender, and age were reported by the next of kin or recorded by the funeral director for the death data and were abstracted by registry staff from medical records for the cancer data.^{24,29,30,32,33} For birth certificate data, mother’s race/ethnicity and age were obtained by self-report through use of closed-format questions; for childhood lead poisoning, race/ethnicity, gender, and age of the child were reported by the child’s parent (or adult guardian).^{25,30,35,36} Data on race/ethnicity, gender, and age for the sexually transmitted infection, TB, and injury cases were obtained by a mixture of self-report and observer report.^{26,38–42} Notably, the different databases employed different approaches to categorizing racial/ethnic data: some used separate fields for data on “race” and on “Hispanic origin” (or “ancestry”), permitting these data to be cross-classified, while others used only one field for these items.

To maximize the compatibility of racial/ethnic categories employed in the numerators (cases) and denominators (population) and to ensure adequate sample size to conduct meaningful analyses, our investigation thus focused primarily on 3 racial/ethnic groups: White, Black, and Hispanic. In these analyses, the White and Black populations were mutually exclusive and included all persons regardless of census-designated “ethnicity,” while the Hispanic population included persons of all census-designated “races.” According to the 1990 census,⁴⁴ fully 98% of both the Massachusetts and Rhode Island White populations in 1990 identified themselves as “non-Hispanic,” as did 92% of the Massachusetts and 89% of the Rhode Island Black popula-

tion. Conversely, among the Hispanic population, 43% in Massachusetts and 48% in Rhode Island identified themselves as “White,” 8% and 9% as “Black,” and 47% and 41% as “other race.” Analyses for the American Indian and the Asian and Pacific Islander populations (respectively comprising, combined, 2.5% and 2.2% of the Massachusetts and Rhode Island populations) were limited to premature mortality and low birthweight, as they were the main outcomes with sufficient data to yield interpretable results.

Data Sources: ABSMs

As described in our prior investigations,^{24–26} we obtained 1990 census data for census tracts and block groups from US Census Bureau Summary Tape File 3A and zip code data from Summary Tape File 3B.⁴³ According to the US Census Bureau, census tracts on average contain 4000 persons and are a “small, relatively permanent statistical subdivision of a county . . . designed to be relatively homogeneous with respect to population characteristics, economic status, and living conditions.”^{45(pG-10,G-11)} The census tract’s subdivision, the block group, contains on average 1000 persons and is the smallest geographic census unit for which census socioeconomic data are tabulated.^{45(pG-6)}

Zip codes, in turn, have an average population of 30 000 and are “administrative units established by the United States Postal Service . . . for the most efficient delivery of mail, and therefore generally do not respect political or census statistical area boundaries,” and they can range in size from large areas cutting across states to a single building or company with a high volume of mail.^{43(pA-13)} Moreover, unlike with census tracts and block groups, zip code boundaries may overlap (since “carrier routes for one ZIP Code may intertwine with those of one or more ZIP Codes”),^{46(p22)} and they can be added, eliminated, or have their codes changed or boundaries redefined in nondecennial years.^{47,48}

Three considerations guided our development of ABSMs^{24–26}: (a) a priori conceptual definitions of socioeconomic position (SEP) and social class,⁶ (b) US and UK evidence emphasizing the detrimental effects of material deprivation on health,^{1–4,49} and (c) the need for measures that can be meaningfully com-

pared over time and space, so as to permit valid monitoring and contrasts in relation to time period and region.^{6,24–26,49} Our project generated, at each level of geography for each state, 11 single-variable and 8 composite ABSMs that met these criteria (Appendix 1; available from the first author upon request), which together reflected 6 domains of SEP: occupational class, income, poverty, wealth, education, and crowding, premised on the understanding that social class, as a social relationship, fundamentally drives the distribution of these manifest aspects of SEP.^{6,24–26}

Of note, one ABSM we included differs from the others: the Gini coefficient, which is a measure of within-area socioeconomic inequality rather than a measure of the average socioeconomic level of an area.⁵⁰ We included this measure because of concerns about its uncritical use—for example, at the block group and census tract level—since realities of economic segregation imply that the Gini coefficient should be employed only for larger aggregations.⁵¹

Among the composite variables, 2 were US analogues of the UK Townsend^{21,49,52} and Carstairs^{21,49,53} deprivation indices, 1 used the algorithm for the CDC’s Index of Local Economic Resources (developed as a county-level measure),⁵⁴ and the remainder were created exclusively for our study.^{24–26} Two of these latter composite variables, SEP1 and SEP2, were intended to mirror the skewed population distribution of socioeconomic resources and simultaneously combined categorical data on poverty, working class, and either wealth or high income. Finally, the “SEP index,” a summed *z* score akin to the Townsend index, was generated through inputs identified by factor analysis,^{55,56} as described for our prior analyses.^{24–26} Cutpoints for both the single-variable and composite categorical ABSMs were based on both their percentile distribution (e.g., quintiles) and a priori considerations (e.g., the federal definition of “poverty areas” as regions where 20% or more of the population is below the US poverty line)^{57,58} (Appendix 2; available from the first author upon request).

Data Analysis

Our analytic plan involved 4 steps, conducted separately for each race/ethnicity—

gender group. In Step 1, we assessed the data distribution, including the extent of missing data. In Step 2, we calculated the relevant age-standardized average annual incidence rate or proportion (for low birthweight and childhood lead poisoning), stratified by each ABSM at each level of geography for each state.^{59,60} For age standardization, we employed the year 2000 standard million,⁶¹ using 5 age groups (birth–14, 15–24, 25–44, 45–64, ≥65 years). The numerators and denominators of the calculated rates and proportions consisted of persons residing in areas identified at the specified level of geography for which data on the specified ABSMs were available. Following standard practice for rates centered around a census,^{62,63} we set the total number of person-years in the denominator equal to the population in that socioeconomic stratum enumerated in the 1990 census multiplied by the relevant number of years of observation.

In Step 3, we visually inspected and quantified socioeconomic gradients for each outcome, using each ABSM at each geographic level. Following standard US reporting practices,^{1,5} we computed the incidence rate ratio or odds ratio, as warranted, comparing people living in areas with the least and most resources. We also calculated the relative index of inequality, a measure that takes into account the proportion of the population in each stratum as well as the effect estimate for that stratum, thereby providing a single metric that can be meaningfully compared across diverse socioeconomic measures (whether using categories that emphasize the extremes or yield more equal distributions, e.g., quintiles).^{64–66} In Step 4, we summarized findings across ABSMs and geographic levels, in relation to our above-mentioned a priori considerations regarding external validity, robustness, completeness, and user-friendliness of each measure. All analyses were conducted in SAS.⁶⁷

Finally, to consolidate our key findings, we devised a “scaled relative index of inequality plot,” in which we display the relative index of inequality for a given ABSM divided by the median value for all the ABSMs being compared. This plot facilitates determining which ABSMs were likely to detect relative indexes of inequality similar to, higher than, or lower than the median relative index of inequality, for each given outcome, at each geo-

TABLE 1—Study Population, Public Health Disparities Geocoding Project—Adults, by Race/Ethnicity and Gender, and Areas, by Level of Geography: Massachusetts and Rhode Island, 1990

	Massachusetts				Rhode Island			
	Total	%	Women	Men	Total	%	Women	Men
Population (1990)	6 016 425		3 129 948	2 886 477	1 003 464		522 114	481 350
White	5 411 774	89.9	2 819 665	2 592 109	919 073	91.6	479 800	439 273
Black	297 006	4.9	154 030	142 976	37 986	3.8	18 854	19 132
Hispanic	275 859	4.6	141 147	134 712	43 932	4.4	21 960	21 972
American Indian	12 585	0.2	6 620	5 965	4 267	0.4	2 204	2 063
Asian/Pacific Islander	140 745	2.3	70 547	70 198	17 615	1.8	8 934	8 681
	N	Mean	SD	Range	N	Mean	SD	Range
Areas (1990)								
Block groups	5 603	1 085.4	665.2	5-10 096	897	1 137.7	670.8	7-5 652
Census tracts	1 331	4 571.8	2 080.0	18-15 411	235	4 325.3	1 810.9	26-9 822
Zip codes	474	12 719.7	12 244.1	14-65 001	70	14 335.2	13 234.8	63-53 763

graphic level, for each race/ethnicity–gender group. To address concerns pertaining to unreliable data, results for outcomes with less than 5 cases are suppressed, while those for outcomes with either 5 to 20 cases or 20 or more cases, and for which the width of the 95% confidence interval is 2 times or more the value of the relative index of inequality, are separately flagged.

RESULTS

Table 1 presents data on the study base, defined in terms of both population and areas (block group, census tract, and zip code), as enumerated in the 1990 census for Massachusetts and Rhode Island, which respectively included approximately 6 million and 1 million residents. As shown in Table 2, about half the White population (both women and men) in both states lived in areas where fewer than 5% of persons lived below the poverty line; by contrast, over half of the Black and Hispanic populations lived in areas where the poverty rate was 20% or more (same for women and men). Similar patterns were evident for the ABSM for low education and, more starkly, the Townsend index, with no notable difference by gender within racial/ethnic groups.

Table 3 provides data on the number of cases for each outcome, by race/ethnicity and gender, and the percentage geocoded to the block group, census tract, and zip code

level, which displayed little variation by race/ethnicity or gender. Overall, 93.7% of records were geocoded to the block group level, 99.5% to the census tract level, and 99.5% to the zip code level; only 0.6% were not geocoded to any of these 3 levels. All records geocoded to the block group and census tract level were linked to the relevant census-defined areas. By contrast, at the zip code level, for several outcomes (especially birth, cancer incidence, and TB), often 10% to 15% of cases could not be linked to census zip code areas, because either their zip codes were for nonresidential sites or the zip codes were created or changed after the 1990 census.

Table 4 presents results for premature mortality for the Massachusetts census tract–level ABSMs and offers an illustration of the data we generated for each outcome, at each geographic level, for each ABSM, for each race/ethnicity–gender group, for both states (Appendix 3; available from the first author upon request). For each economic stratum of each ABSM, the table (men only) displays the number of cases, the denominator, the computed age-standardized rate, and the relative index of inequality (summarizing the socioeconomic gradient across all the economic strata); the incidence rate ratio, comparing rates among persons living in areas with the least versus most resources, is available from the first author. Thus, in the case of premature mortality, the median relative index of

inequality for the 11 census tract–level ABSMs typically was 2 or higher in every race/ethnicity–gender group, with measures of economic deprivation most frequently detecting the steepest gradients. Among men, reliable estimates of the relative index of inequality ranged from a low of 0.9 (Black men: wealth ABSM) to a high of 3.4 (Asian and Pacific Islander men: poverty ABSM). Among women, reliable estimates of the relative index of inequality ranged from a low of 1.2 (Black women: wealth and working class ABSM) to a high of 3.2 (Asian and Pacific Islander women: Townsend ABSM).

Building on these analyses, Figure 1 employs the scaled relative index of inequality plot to summarize our findings for the census tract–level ABSMs across all outcomes for the total population and for the different race/ethnicity–gender groups. Four results stand out, which held for analyses at each geographic level (plots at the block group and zip code level available from the first author upon request). First, within both the total population and each race/ethnicity–gender group, the relative index of inequality for most ABSMs was close to the median for virtually all outcomes, suggesting that the impact of socioeconomic position on a given health outcome is robust. Second, measures of economic deprivation—such as the percentage of persons below poverty (the dark green line) and the Townsend index (the bright red line)—were most sensitive to expected socioeconomic gradients in health, with their relative indexes of inequality routinely at or above the median. By contrast, relative indexes of inequality for measures of wealth and income inequality generally fell below the median, and those for measures of education hovered around the median. Third, these patterns were especially evident for HIV mortality, homicide, TB, and sexually transmitted infections, for which much larger (and expected^{24–26}) gradients were detected by ABSMs for economic deprivation compared with other ABSMs. Fourth, for virtually all outcomes, the median relative index of inequality typically was largest for the White population and smallest for the Black population.

Two findings, however, differed by geographic level (data not shown; available from the first author upon request). First, in each

TABLE 2—Distribution of the Population for the Public Health Disparities Geocoding Project, Stratified by Block Group–, Census Tract–, and Zip Code–Level Area-Based Socioeconomic Measures, Race/Ethnicity, and Gender: Massachusetts and Rhode Island, 1990

ABSM	Massachusetts						Rhode Island					
	White		Black		Hispanic		White		Black		Hispanic	
	N	(%)	N	(%)	N	(%)	N	(%)	N	(%)	N	(%)
Poverty (categorical), %												
BG												
0–4.9	2 751 888	(50.9)	41 027	(13.8)	35 870	(13.0)	403 507	(44.0)	3 439	(9.1)	4 318	(9.9)
5.0–9.9	1 363 080	(25.2)	42 151	(14.2)	35 597	(12.9)	277 185	(30.2)	5 243	(13.9)	6 269	(14.3)
10.0–19.9	838 315	(15.5)	70 156	(23.6)	55 316	(20.1)	138 953	(15.1)	6 822	(18.1)	6 851	(15.6)
20–100	453 877	(8.4)	143 400	(48.3)	148 850	(54.0)	97 701	(10.7)	22 245	(58.9)	26 347	(60.2)
CT												
0–4.9	2 462 306	(45.5)	27 423	(9.2)	27 849	(10.1)	377 676	(41.2)	2 731	(7.2)	3 716	(8.5)
5.0–9.9	1 728 663	(32.0)	49 493	(16.7)	44 797	(16.2)	269 275	(29.3)	4 993	(13.2)	4 807	(11.0)
10.0–19.9	798 485	(14.8)	70 404	(23.7)	57 020	(20.7)	195 495	(21.3)	8 915	(23.6)	10 861	(24.8)
20–100	418 676	(7.7)	149 517	(50.4)	146 059	(53.0)	75 316	(8.2)	21 154	(56.0)	24 433	(55.8)
ZC												
0–4.9	2 196 407	(40.6)	25 233	(8.5)	25 420	(9.2)	199 126	(21.7)	1 128	(3.0)	1 457	(3.3)
5.0–9.9	1 784 636	(33.0)	45 596	(15.4)	49 308	(17.9)	461 245	(50.2)	8 625	(22.7)	7 171	(16.3)
10.0–19.9	1 117 857	(20.7)	88 949	(29.9)	89 436	(32.4)	203 391	(22.1)	15 460	(40.7)	14 504	(33.0)
20–100	312 867	(5.8)	137 228	(46.2)	111 695	(40.5)	55 311	(6.0)	12 773	(33.6)	20 800	(47.3)
Low education (categorical), %												
BG												
0–14.9	2 488 369	(46.0)	49 370	(16.6)	42 416	(15.4)	212 623	(23.1)	4 193	(11.0)	3 331	(7.6)
15.0–24.9	1 525 764	(28.2)	61 190	(20.6)	40 161	(14.6)	252 207	(27.4)	3 180	(8.4)	2 479	(5.6)
25.0–39.9	987 678	(18.3)	108 873	(36.7)	75 912	(27.5)	290 457	(31.6)	8 644	(22.8)	8 278	(18.8)
40–100	409 851	(7.6)	77 450	(26.1)	117 332	(42.5)	163 786	(17.8)	21 969	(57.8)	29 844	(67.9)
CT												
0–14.9	2 261 944	(41.8)	42 569	(14.3)	36 822	(13.3)	142 306	(15.5)	3 660	(9.6)	2 670	(6.1)
15.0–24.9	1 839 913	(34.0)	68 539	(23.1)	50 295	(18.2)	313 258	(34.1)	6 127	(16.1)	3 476	(7.9)
25.0–39.9	959 725	(17.7)	126 459	(42.6)	91 816	(33.3)	315 690	(34.3)	5 448	(14.3)	7 353	(16.7)
40–100	350 080	(6.5)	59 316	(20.0)	96 888	(35.1)	147 819	(16.1)	22 751	(59.9)	30 433	(69.3)
ZC												
0–14.9	2 042 089	(37.7)	33 138	(11.2)	33 863	(12.3)	150 152	(16.3)	4 629	(12.2)	2 235	(5.1)
15.0–24.9	2 075 209	(38.3)	71 227	(24.0)	71 401	(25.9)	243 270	(26.5)	4 598	(12.1)	2 568	(5.8)
25.0–39.9	1 020 759	(18.9)	157 593	(53.1)	108 260	(39.2)	368 287	(40.1)	11 756	(30.9)	12 998	(29.6)
40–100	273 710	(5.1)	35 048	(11.8)	62 335	(22.6)	157 364	(17.1)	17 003	(44.8)	26 131	(59.5)
Townsend index (categorical)												
BG												
1 (most resources)	1 073 403	(19.8)	9 618	(3.2)	10 452	(3.8)	185 534	(20.2)	620	(1.6)	1 275	(2.9)
2	1 229 164	(22.7)	15 248	(5.1)	13 557	(4.9)	211 306	(23.0)	1 314	(3.5)	2 310	(5.3)
3	1 228 922	(22.7)	22 595	(7.6)	20 240	(7.3)	205 142	(22.4)	3 596	(9.5)	2 914	(6.7)
4	1 093 642	(20.2)	48 410	(16.3)	44 152	(16.0)	176 440	(19.2)	5 420	(14.4)	6 088	(13.9)
5 (least resources)	784 731	(14.5)	201 047	(67.7)	187 425	(68.0)	138 924	(15.1)	26 799	(71.0)	31 198	(71.3)
CT												
1 (most resources)	1 242 820	(23.0)	11 253	(3.8)	12 490	(4.5)	209 034	(22.8)	960	(2.5)	1 888	(4.3)
2	1 322 640	(24.4)	15 149	(5.1)	15 831	(5.7)	212 861	(23.2)	1 717	(4.5)	2 420	(5.5)
3	1 221 587	(22.6)	24 093	(8.1)	25 876	(9.4)	197 171	(21.5)	3 296	(8.7)	2 914	(6.7)

Continued

TABLE 2—Continued

4	1 041 083	(19.2)	55 712	(18.8)	55 520	(20.1)	188 484	(20.5)	6 925	(18.3)	6 291	(14.4)
5 (least resources)	581 780	(10.8)	190 717	(64.2)	166 109	(60.2)	110 212	(12.0)	24 895	(65.9)	30 304	(69.2)
ZC												
1 (most resources)	605 276	(11.2)	6 107	(2.1)	6 225	(2.3)	48 066	(5.2)	141	(0.4)	230	(0.5)
2	816 954	(15.1)	8 368	(2.8)	8 328	(3.0)	137 223	(14.9)	697	(1.8)	1 101	(2.5)
3	946 108	(17.5)	11 304	(3.8)	12 011	(4.4)	160 402	(17.5)	1 019	(2.7)	1 470	(3.3)
4	1 336 631	(24.7)	23 974	(8.1)	31 806	(11.5)	262 883	(28.6)	3 313	(8.7)	4 155	(9.5)
5 (least resources)	1 706 798	(31.5)	247 253	(83.2)	217 489	(78.8)	310 499	(33.8)	32 816	(86.4)	36 976	(84.2)

Note. BG = block group; CT = census tract; ZC = zip code; ABSM = area-based socioeconomic measures.

race/ethnicity–gender group, the block group and census tract median relative indexes of inequality for most outcomes resembled each other (with the block group estimate often slightly larger), whereas the zip code median relative index of inequality variously was larger or smaller than the block group or the census tract median relative index of inequality. Second, as reported previously for the total population,⁴⁸ in each of the race/ethnicity–gender groups, only zip code–level analyses yielded parameter estimates contrary to what has been reported in the literature (e.g., they detected a positive socioeconomic gradient when most research has reported a negative gradient).

DISCUSSION

Taken together, our results suggest that aptly chosen ABSMs can meaningfully augment US public health surveillance systems to permit routine monitoring of socioeconomic inequalities in health within diverse race/ethnicity–gender groups as well as the total population. Three key findings pertinent to selecting a particular ABSM at a particular level stand out. First, census tract–level analyses yielded the most consistent results with maximal geocoding linkage (i.e., the highest proportion of records both geocoded and linked to census-defined areas). Second, measures of economic deprivation were most sensitive to expected socioeconomic gradients in health. Third, the single-variable measure “percentage of persons below poverty” performed as well as more complex, composite measures of economic deprivation (e.g., the Townsend index). These findings, in conjunction with our a pri-

ori criteria pertaining to external validity, robustness, completeness, and user-friendliness, accordingly suggest that the census tract–level measure “percentage of persons below poverty” would be a plausible candidate variable. Also important would be use of a priori categorical cutpoints (including the policy-relevant 20% below poverty^{57,58}) to facilitate meaningful comparisons across time and space.

In evaluating our results, it is important to consider several possible sources of bias and error, as well as issues regarding interpretation and use of ABSMs. First, bias could result if a person’s socioeconomic position were associated with being included in a given public health surveillance system, having an erroneous or ungeocodable address, or living in an area missing ABSM data.^{6,15} If, for example, these problems occurred more frequently among poorer persons, estimates of socioeconomic gradients would be deflated; if, less plausibly, these problems chiefly affected affluent persons, the estimate would be inflated. In our prior research, however, with the 2 databases containing individual-level socioeconomic data (birth and death), we found no variation by educational level in the proportion of records geocoded at each geographic level.^{24,25}

Second, misclassification of race/ethnicity and differential census undercounts by race/ethnicity and socioeconomic position could also affect parameter estimates.¹⁴ In both cases, however, the resultant biases would be operative at each geographic level and thus would not invalidate comparisons of socioeconomic gradients across ABSMs or geographic levels. Moreover, only a tiny proportion of areas (typically under 1%) lacked data on

ABSMs and, to minimize geocoding error, we used a geocoding firm whose accuracy we validated.²⁸ Third, from a temporal standpoint, cross-sectional analysis cannot address issues of etiologic period; simultaneity of measurement of ABSMs and health outcomes, however, is appropriate for monitoring, given the goal of quantifying the population burden of ill health in relation to socioeconomic position.^{24–26}

Use of ABSMs nevertheless does raise several concerns. First, associations between ABSMs and health status likely reflect a complex combination of 3 factors: (1) composition (people in poor areas have poor health because poor people, as individuals, have poor health), (2) context (people in poor areas also have poor health because a concentration of poverty creates or exacerbates harmful social interactions), and (3) location of public goods or environmental conditions (poor areas are less likely to have good supermarkets and are more likely to be situated next to industrial plants, thereby harming health of their residents).^{12,21,68–71}

Ascertaining the relative contribution of each of these factors—a task relevant for etiologic research—would necessitate multilevel models with relevant individual-level and area-based data^{69–72} (i.e., precisely the data that most US public health surveillance systems lack). Germane to compositional effects, however, the handful of US studies comparing effect estimates using area-based and individual-level socioeconomic data in conjunction with individual health data have found effect estimates in the same direction, often with similar magnitude (at the block group and census tract levels, but not the zip code

TABLE 3—Number of Cases for the Public Health Disparities Geocoding Project: Percentage Geocoded and Percentage Matched to Census Areas, by Level of Geography and Race/Ethnicity: Massachusetts and Rhode Island , ca. 1990

Data Source: Population	Total Cases		% Geocoded and Matched to Census Areas ^a								Not Geocoded				
			BG Level		CT Level		ZC Level								
			Mass	RI	Mass	RI	Mass		RI		Mass	RI	Mass	RI	
			Geo +	Geo +	Geo +	Geo +		Geo +		Geo +					
			Match	Match	Match	Match	Geo	Match	Geo	Match	N	(%)	N	(%)	
Mortality (Mass, 1989–1991; RI, 1989–1991)															
White women	77 543	13 567	93.6	89.8	99.9	94.6	99.9	96.4	94.0	92.5	116	(0.1)	737	(5.4)	
White men	69 994	12 682	93.8	92.2	99.8	95.8	99.9	96.5	95.3	93.5	141	(0.2)	528	(4.2)	
Black women	2 448	372	95.8	94.1	99.8	97.0	99.8	98.1	97.0	96.8	6	(0.2)	11	(3.0)	
Black men	3 025	501	96.4	94.4	99.6	97.2	99.6	97.3	96.4	95.6	13	(0.4)	14	(2.8)	
Hispanic women	682	73	95.5	97.3	98.8	98.6	99.3	96.6	98.6	97.3	8	(1.2)	1	(1.4)	
Hispanic men	1 150	172	95.4	95.9	98.9	96.5	99.1	96.7	96.5	95.3	13	(1.1)	6	(3.5)	
American Indian women	31	28	80.6	89.3	100.0	92.9	100.0	96.8	89.3	89.3	0	(0.0)	2	(7.1)	
American Indian men	67	48	88.1	87.5	98.5	91.7	98.5	95.5	91.7	89.6	1	(1.5)	4	(8.3)	
Asian/Pacific Islander women	346	40	95.4	97.5	98.0	100.0	98.3	96.0	100.0	97.5	7	(2.0)	0	(0.0)	
Asian/Pacific Islander men	456	44	93.9	100.0	97.1	100.0	97.1	93.6	100.0	100.0	13	(2.9)	0	(0.0)	
Low birthweight (Mass, 1989–1991; RI, 1987–1993)															
White girls	92 354	36 245	94.4	96.6	100.0	99.2	100.0	91.6	99.4	97.5	0	(0.0)	275	(0.8)	
White boys	97 758	38 192	94.2	96.5	100.0	99.3	100.0	91.7	99.4	97.5	0	(0.0)	271	(0.7)	
Black girls	9 562	3 405	96.9	97.7	100.0	99.0	100.0	92.4	99.7	98.2	0	(0.0)	33	(1.0)	
Black boys	9 810	3 577	96.5	97.5	100.0	98.8	100.0	92.5	99.7	97.8	0	(0.0)	44	(1.2)	
Hispanic girls	12 568	2 659	96.8	98.5	100.0	99.7	100.0	93.5	99.8	99.1	0	(0.0)	8	(0.3)	
Hispanic boys	13 215	2 726	96.7	98.7	100.0	99.7	100.0	93.7	99.9	98.8	0	(0.0)	9	(0.3)	
American Indian girls	232	411	92.7	94.9	100.0	98.1	100.0	94.0	98.3	98.1	0	(0.0)	8	(1.9)	
American Indian boys	228	388	90.8	96.6	100.0	98.7	100.0	90.8	99.0	98.2	0	(0.0)	5	(1.3)	
Asian/Pacific Islander girls	4 455	1 458	96.5	96.8	100.0	97.9	100.0	89.5	98.7	96.9	0	(0.0)	30	(2.1)	
Asian/Pacific Islander boys	4 842	1 541	96.7	97.0	100.0	98.4	100.0	90.6	99.1	97.5	0	(0.0)	25	(1.6)	
Cancer incidence (Mass, 1988–1992; RI, 1989–1992)															
White women	79 252	9 817	92.1	91.3	100.0	99.7	100.0	89.3	99.8	99.1	7	(0.0)	25	(0.3)	
White men	76 209	9 486	92.0	91.6	100.0	99.8	100.0	89.6	99.8	99.0	4	(0.0)	21	(0.2)	
Black women	2 044	211	94.7	96.2	100.0	100.0	100.0	93.6	100.0	100.0	0	(0.0)	0	(0.0)	
Black men	2 292	192	92.8	92.7	100.0	100.0	100.0	94.9	100.0	99.0	0	(0.0)	0	(0.0)	
Hispanic women	NA	62		91.9		100.0			100.0	96.8			0	(0.0)	
Hispanic men	NA	67		94.0		98.5			98.5	98.5			1	(1.5)	
Childhood lead poisoning (RI, 1994–1996)															
White girls		7 145		93.4		94.2			93.9	91.3			414	(5.8)	
White boys		7 550		93.5		94.7			94.5	92.2			402	(5.3)	
Black girls		1 032		97.3		97.9			97.9	97.9			22	(2.1)	
Black boys		1 056		97.7		98.3			98.0	97.3			18	(1.7)	
Hispanic girls		2 571		97.7		97.9			97.8	97.7			55	(2.1)	
Hispanic boys		2 578		98.1		98.3			98.3	98.2			45	(1.7)	

Continued

TABLE 3—Continued

Tuberculosis (Mass, 1993–1998; RI, 1985–1994)														
White women	284	145	90.1	90.3	100.0	93.1	100.0	84.2	91.7	90.3	0	(0.0)	10	(6.9)
White men	490	223	89.8	87.9	100.0	90.6	99.8	83.9	89.7	87.9	0	(0.0)	21	(9.4)
Black women	208	34	90.4	100.0	100.0	100.0	100.0	88.9	100.0	97.1	0	(0.0)	0	(0.0)
Black men	273	58	86.4	91.4	100.0	96.6	100.0	87.9	96.6	96.6	0	(0.0)	2	(3.4)
Hispanic women	93	34	88.2	97.1	100.0	100.0	100.0	83.9	97.1	97.1	0	(0.0)	0	(0.0)
Hispanic men	156	63	85.9	90.5	100.0	95.2	100.0	73.1	93.7	93.7	0	(0.0)	3	(4.8)
Syphilis (Mass, 1994–1998; RI, 1994–1996)														
White women	128	27	83.6	88.9	100.0	88.9	100.0	98.4	88.9	88.9	0	(0.0)	3	(11.1)
White men	198	31	81.3	90.3	100.0	96.8	100.0	95.5	96.8	93.5	0	(0.0)	1	(3.2)
Black women	394	20	87.6	60.0	100.0	90.0	100.0	98.0	90.0	85.0	0	(0.0)	2	(10.0)
Black men	534	51	84.3	62.7	100.0	80.4	100.0	97.2	80.4	76.5	0	(0.0)	10	(19.6)
Hispanic women	313	50	91.1	88.0	100.0	94.0	100.0	95.8	94.0	92.0	0	(0.0)	3	(6.0)
Hispanic men	533	86	74.7	67.4	100.0	81.4	100.0	97.2	81.4	80.2	0	(0.0)	16	(18.6)
Gonorrhea (Mass, 1994–1998; RI, 1994–1996)														
White women	701	259	90.3	94.6	100.0	95.4	100.0	96.9	95.4	94.2	0	(0.0)	12	(4.6)
White men	966	177	89.2	92.1	100.0	94.4	100.0	95.9	94.4	93.2	0	(0.0)	10	(5.6)
Black women	991	236	90.3	94.9	100.0	95.8	100.0	97.8	95.8	93.6	0	(0.0)	10	(4.2)
Black men	1211	350	91.7	92.6	100.0	93.4	99.9	98.0	93.4	92.3	0	(0.0)	23	(6.6)
Hispanic women	479	94	90.8	92.6	100.0	93.6	100.0	97.5	92.6	92.6	0	(0.0)	6	(6.4)
Hispanic men	558	108	89.6	94.4	100.0	94.4	99.8	97.0	94.4	94.4	0	(0.0)	6	(5.6)
Chlamydia (Mass, 1994–1998; RI, 1994–1996)														
White women	5816	2099	87.2	91.8	100.0	93.1	100.0	95.9	93.0	90.9	0	(0.0)	144	(6.9)
White men	734	269	87.9	83.6	100.0	85.1	100.0	95.8	85.1	82.5	0	(0.0)	40	(14.9)
Black women	3104	864	90.7	92.4	100.0	93.9	100.0	97.5	93.8	91.4	0	(0.0)	53	(6.1)
Black men	1062	276	88.8	90.2	100.0	91.3	100.0	96.2	91.3	89.1	0	(0.0)	24	(8.7)
Hispanic women	3892	923	91.8	96.0	100.0	96.7	100.0	96.7	96.5	96.0	0	(0.0)	30	(3.3)
Hispanic men	690	185	84.9	93.5	100.0	95.1	100.0	95.7	95.1	94.6	0	(0.0)	9	(4.9)
Nonfatal weapon-related injury (Mass, 1995–1997)														
White women	283		89.0		97.9		100.0	95.1			6	(2.1)		
White men	1812		87.7		96.9		100.0	93.8			57	(3.1)		
Black women	262		87.0		97.7		100.0	95.0			6	(2.3)		
Black men	1413		84.1		94.6		100.0	91.8			77	(5.4)		
Hispanic women	132		90.2		97.7		100.0	95.5			3	(2.3)		
Hispanic men	1091		86.8		96.4		100.0	93.8			39	(3.6)		

Note. BG = block group; CT = census tract; ZC = zip code; NA = not available.

^a“Geo + Match” = geocoded and matched to census area; “Geo” = geocoded but not able to be matched to census area.

level).^{15,73–75} Multilevel models, moreover, additionally suggest that, for at least some health outcomes, area- and individual-level socioeconomic factors independently and jointly shape the population distributions of disease.^{68–72}

A second concern pertains to “ecologic fallacy,” which occurs when both the dependent and independent variables are group-level data and confounding is introduced through the grouping process.^{69,76} This type of aggregation bias, however, is not germane to the

method of appending ABSMs to individual records, because individuals constitute the unit of observation for both the dependent variable (health outcomes) and the independent variable (exposure to area-based socioeconomic conditions).^{15,24–26} Instead, as for

TABLE 4—Premature Mortality (<65 Years Old, Per 100 000^a) Among Men, by Race/Ethnicity, Stratified by Census Tract-Level Area-Based Socioeconomic Measures, Massachusetts, 1989–1991: Public Health Disparities Geocoding Project

ABSM and Category	White				Black				Hispanic				Asian/Pacific Islander				American Indian			
	Cases	Pop	Rate	RII (95% CI)	Cases	Pop	Rate	RII (95% CI)	Cases	Pop	Rate	RII (95% CI)	Cases	Pop	Rate	RII (95% CI)	Cases	Pop	Rate	RII (95% CI)
Working class, %																				
0.0–49.9	2 468	1 137 351	187.7	2.1 (2.0, 2.3)	168	387 399	475.3	1.4 (1.2, 1.6)	46	30 192	196.7	2.1 (1.7, 2.7)	51	53 667	113.0	2.4 (1.6, 3.7)	4	1 749	211.0	1.3 (0.4, 4.4)
50.0–65.9	8 660	3 227 388	255.5		451	108 090	448.8		167	100 566	200.2		75	76 779	116.2		11	6 639	165.9	
66.0–74.9	5 901	1 837 386	311.1		705	159 258	487.8		271	108 252	276.3		44	38 745	140.3		10	5 316	212.0	
75.0–100.0	2 569	669 279	402.1		485	100 686	573.2		389	155 535	329.6		55	33 732	231.0		6	3 171	208.6	
Median household income, \$																				
>47 125	3 714	1 736 397	186.9	2.6 (2.5, 2.8)	64	24 648	262.6	2.7 (2.3, 3.2)	22	24 234	112.5	2.8 (2.2, 3.5)	41	39 291	101.9	2.7 (1.8, 4.2)	3	2 142	129.9	7.1 (1.9, 27.2)
39 287–47 124	4 299	1 663 815	242.4		108	33 093	351.7		41	27 369	158.3		16	28 494	69.8		0	2 772	0.0	
33 163–39 286	4 359	1 466 310	286.0		216	64 140	363.8		73	43 749	195.0		47	36 156	173.3		8	3 081	230.2	
26 472–33 162	3 913	1 183 224	328.1		516	120 399	471.4		198	96 201	263.5		41	42 144	124.5		5	4 311	162.2	
4 999–26 471	3 313	818 049	446.0		905	162 303	648.9		539	202 362	333.6		80	56 775	207.1		15	4 524	372.3	
Poverty, %																				
0.0–4.9	7 563	3 203 058	212.7	2.6 (2.5, 2.8)	107	42 777	280.9	2.7 (2.2, 3.2)	52	41 931	134.6	2.8 (2.2, 3.6)	44	54 798	84.6	3.4 (2.2, 5.2)	4	4 314	86.4	6.3 (1.7, 22.8)
5.0–9.9	6 397	2 145 999	285.3		217	69 978	342.2		100	64 854	181.2		51	48 780	129.7		8	5 220	166.6	
10.0–19.9	3 485	983 442	356.7		422	96 048	478.2		182	81 999	281.9		46	38 985	149.3		7	3 318	234.3	
20.0–100	2 153	537 120	484.8		1 063	197 895	610.6		539	205 635	332.4		84	60 312	211.8		12	3 978	371.7	
Gini index																				
0.009–0.348	4 019	1 708 737	227.5	1.6 (1.5, 1.7)	114	43 965	284.7	2.9 (2.5, 3.5)	35	31 692	123.8	2.6 (2.1, 3.3)	26	26 082	111.4	2.3 (1.5, 3.6)	1	3 294	31.7	6.8 (1.8, 25.6)
0.349–0.371	4 173	1 535 217	255.3		105	40 929	288.6		62	40 221	193.9		30	33 390	96.5		6	2 490	244.6	
0.372–0.395	4 194	1 490 193	260.2		200	51 504	413.2		114	58 449	205.3		36	36 339	109.8		3	3 171	115.1	
0.396–0.428	4 056	1 257 159	307.4		398	96 168	469.2		222	100 536	282.7		44	40 950	160.1		8	4 143	211.8	
0.429–0.650	3 156	876 489	347.1		992	172 017	642.6		440	163 017	340.0		89	66 099	182.7		13	3 732	380.4	
Wealth, %																				
20.0–100	2 046	905 262	191.5	1.9 (1.8, 2.0)	175	30 165	623.0	0.9 (0.7, 1.2)	54	24 726	255.6	1.4 (1.0, 2.0)	52	42 669	130.4	1.1 (0.7, 1.8)	6	1 488	392.2	0.2 (0.1, 1.0)
10.0–19.9	1 440	576 903	223.8		59	16 953	340.2		32	17 166	233.9		14	11 277	152.0		4	1 539	230.6	
5.0–9.9	1 964	707 640	251.4		143	33 015	455.1		28	18 831	168.7		21	16 884	120.6		2	1 314	109.2	
0.0–4.9	13 919	4 643 028	295.5		1 366	317 397	484.6		694	318 000	267.4		133	126 078	138.0		14	12 243	130.2	
Crowding, %																				
0.0–4.9	17 504	6 324 546	258.2	3.3 (3.0, 3.5)	640	172 611	410.6	1.6 (1.4, 1.9)	337	176 184	219.5	1.7 (1.3, 2.1)	131	132 219	112.3	3.0 (1.9, 4.6)	22	12 753	175.1	0.9 (0.2, 5.3)
5.0–9.9	1 422	383 601	437.0		590	115 011	579.7		258	99 579	338.7		51	41 889	179.6		2	2 280	72.7	
10.0–19.9	572	147 078	497.3		508	103 203	546.0		229	99 378	295.8		25	20 700	196.1		4	1 683	265.5	
20.0–100	100	12 570	911.8		71	13 758	539.6		49	18 774	294.9		18	8 052	262.3		3	114	1 987.9	

Continued

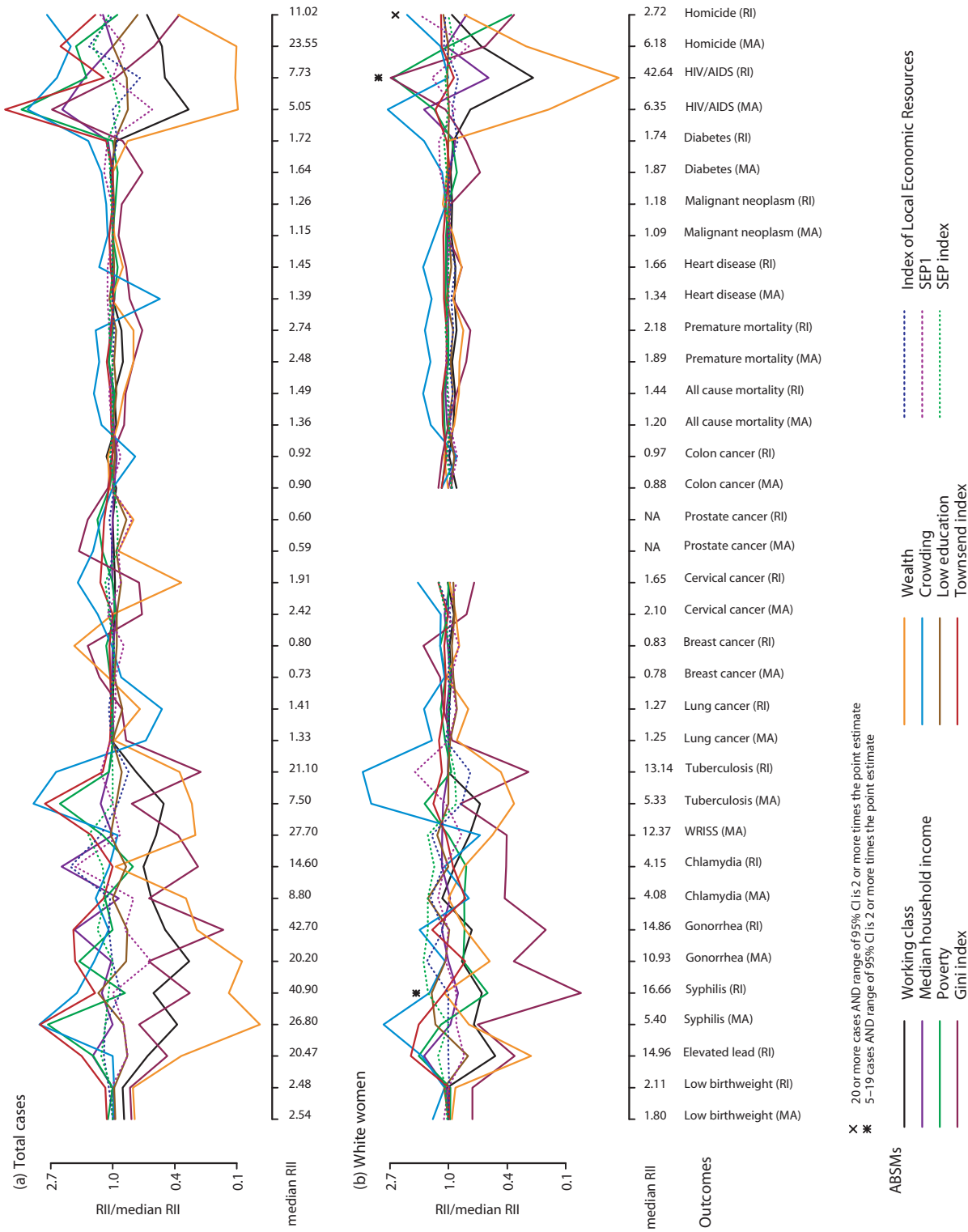
TABLE 4—Continued

Low education, %																				
0.0-14.9	6525	2920797	204.0	2.4 (2.3,2.6)	202	63957	344.1	2.3 (2.0,2.8)	75	53625	187.0	2.4 (1.9,3.0)	65	82701	95.1	3.3 (2.1,5.0)	11	5739	178.7	2.8 (0.8,9.7)
15.0-24.9	7074	2333658	291.9		340	95100	397.0		119	73434	172.4		60	53778	135.4		3	4686	58.1	
25.0-39.9	4323	1198464	357.9		812	168933	528.6		310	129690	294.1		57	42762	172.6		12	4125	318.4	
40.0-100	1677	422184	402.1		455	78759	687.7		369	137799	337.3		43	23766	241.7		5	2340	282.5	
Townsend index																				
-8.123 to -2.797	3554	1609386	190.7	2.7 (2.6,2.9)	50	18390	287.4	2.7 (2.3,3.3)	27	18618	187.7	2.7 (2.0,3.4)	23	27171	80.9	3.0 (1.8,4.6)	4	2274	144.0	4.8 (1.3,17.2)
-2.796 to -1.596	4287	1711941	234.2		58	22704	256.1		25	22956	123.2		22	23496	111.2		3	3024	82.3	
-1.595 to -0.051	4368	1521165	273.9		99	33333	319.5		50	36825	146.9		27	26673	112.9		6	3579	219.8	
-0.050 to 2.860	4281	1282509	339.1		273	78378	427.2		178	79506	261.6		52	49983	135.6		3	3429	95.4	
2.861 to 11.223	3108	746451	470.1		1329	253968	581.3		593	236640	319.5		101	75600	195.9		15	4569	383.3	
CODICER																				
20-26	3995	1799544	193.5	2.4 (2.3,2.6)	120	33924	387.7	2.2 (1.9,2.6)	50	31869	190.8	2.8 (2.2,3.6)	47	54429	94.5	3.4 (2.2,5.1)	3	2460	100.0	4.4 (1.3,15.5)
16-19	3709	1450092	242.5		109	32022	359.2		27	27087	136.2		33	32310	131.2		3	2394	100.5	
11-15	5515	1839060	289.3		163	54567	361.0		82	55227	157.5		37	42588	100.8		6	3330	182.9	
6-10	3491	1091622	325.3		475	107718	459.3		184	76107	283.5		36	32700	151.1		6	3942	231.6	
0-5	2888	691134	437.5		942	178542	613.4		530	204255	332.9		72	40896	246.8		13	4749	317.9	
SEPI ^b																				
1 (most resources)	1991	937200	179.5	2.4 (2.3,2.6)	129	27288	500.6	1.8 (1.5,2.1)	34	21852	185.2	2.1 (1.7,2.6)	39	37794	113.9	3.0 (2.0,4.7)	4	1146	308.8	1.3 (0.3,4.5)
2	1373	524109	235.4		86	16938	516.6		27	12294	325.4		11	10965	114.9		6	1656	412.4	
3	438	198441	220.2		26	11160	263.1		8	7710	189.1		9	14691	89.7		0	579	0.0	
4	12353	4318989	273.7		518	451362	371.1		218	127887	189.6		84	82077	117.1		8	8604	88.7	
5	1460	416673	351.7		67	14532	581.6		68	27045	316.8		6	7614	57.4		2	1062	217.3	
6	697	188562	440.2		506	92481	610.2		159	60735	310.8		22	18114	194.4		3	1560	602.4	
7 (least resources)	1051	243006	491.6		410	83487	575.4		294	120975	325.7		49	25521	282.2		3	1917	152.1	
SEP index																				
-13.768 to -3.265	3264	1523331	184.9	2.5 (2.5,2.7)	121	31938	411.0	2.2 (1.8,2.6)	35	27054	151.2	2.8 (2.2,3.6)	44	47403	100.9	3.2 (2.1,5.0)	4	1908	190.0	1.5 (0.4,5.4)
-3.264 to -1.153	3948	1612971	231.6		97	31716	326.1		41	27429	226.2		21	26148	96.2		6	3168	161.3	
-1.152 to 0.396	4641	1571037	284.7		157	40029	456.5		63	41865	161.9		42	40602	120.1		5	3381	163.6	
0.397 to 3.006	4377	1345047	321.7		302	90357	365.1		136	72450	215.5		38	37884	135.1		2	3600	90.3	
3.007 to 20.605	3133	772827	427.7		1065	201093	597.0		533	209196	325.9		75	44724	234.2		9	4467	248.3	
Median				2.4				2.2				2.6				3.0				2.8

Note. RII = relative index of inequality; CI = confidence interval; Pop = population; CDCIIR = Centers for Disease Control and Prevention's Index of Economic Resources; CT = census tract; ABSM = area-based socioeconomic measures. For SEP1 and SEP index, see "Methods" section.

^aAge-standardized to the year 2000 standard million.

^aSGPI: 1 = any poverty, <50% working class, $\geq 10\%$ wealth; 2 = any poverty, 51%–74% working class, $<10\%$ wealth; 3 = any poverty, $<50\%$ working class, $<10\%$ poverty, 51%–74% working class, $<10\%$ wealth; 4 = $<20\%$ poverty, 51%–74% working class, any wealth; 5 = $\geq 20\%$ poverty, 51%–74% working class, any wealth; 6 = $\geq 20\%$ poverty, 51%–74% working class, $<10\%$ wealth; 7 = $\geq 20\%$ poverty, $\geq 75\%$ working class, any wealth; 8 = $\geq 20\%$ poverty, 51%–74% working class, any wealth; 9 = $\geq 20\%$ poverty, $\geq 75\%$ working class, any wealth; 10 = $\geq 20\%$ poverty, $\geq 75\%$ working class, any wealth.



Note. MA = Massachusetts; RI = Rhode Island; WRISS = Weapons-Related Injury Surveillance System. For explanation of SEP1 and SEP index, see "Methods" section.

FIGURE 1—Scaled relative index of inequality (RII) plot, with In (RII/median RII) for the 11 area-based socioeconomic measures (ABSMs) at the census tract level (Massachusetts, ca. 1990) for all health outcomes for (a) total population, (b) White women, (c) Black women, (d) Hispanic women, (e) White men, (f) Black men, (g) Hispanic men, and (h) for low birthweight and premature mortality only for Asian and Pacific Islander and American Indian women and men, from The Public Health Disparities Geocoding Project.

(Continued)

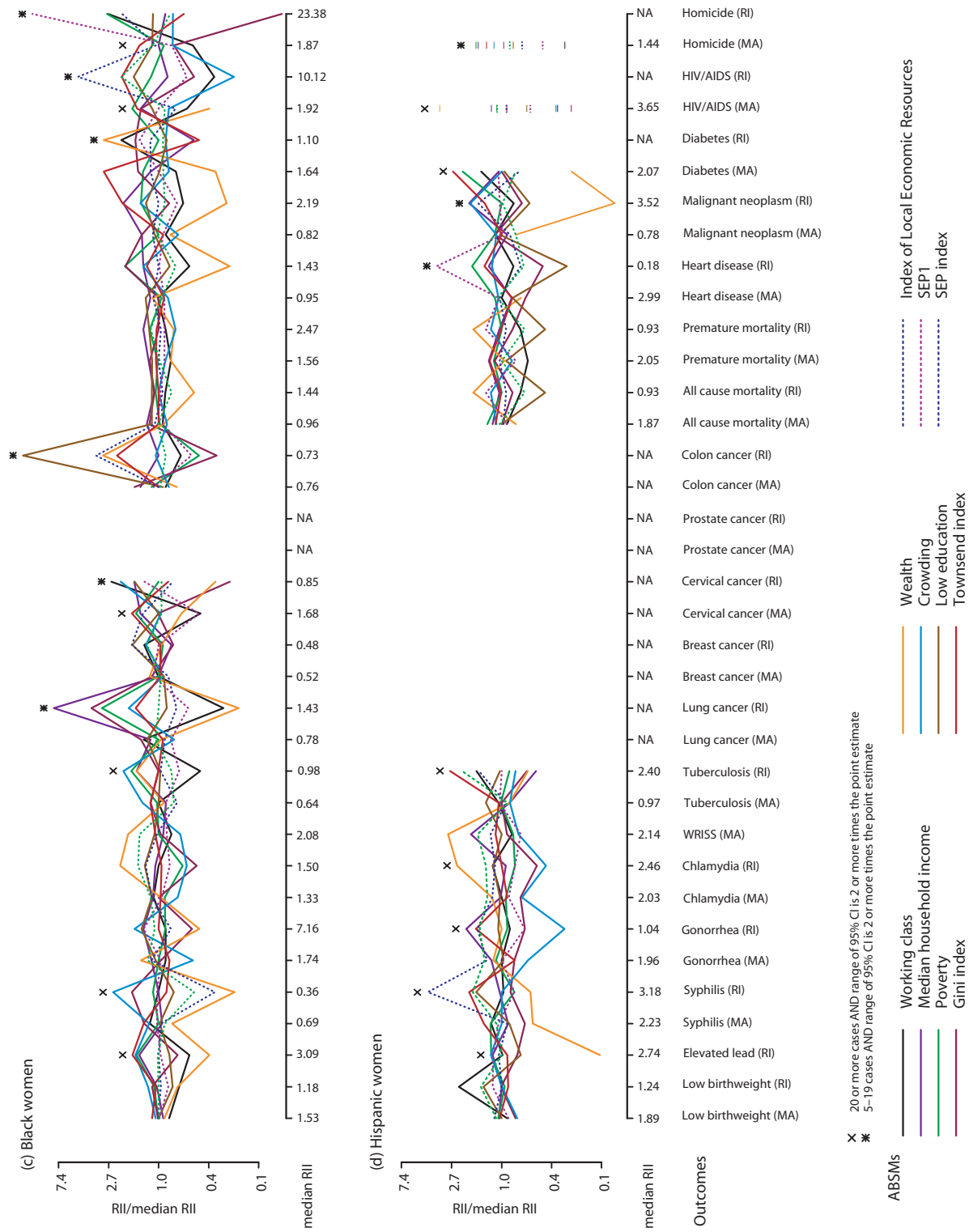


FIGURE 1—Continued.

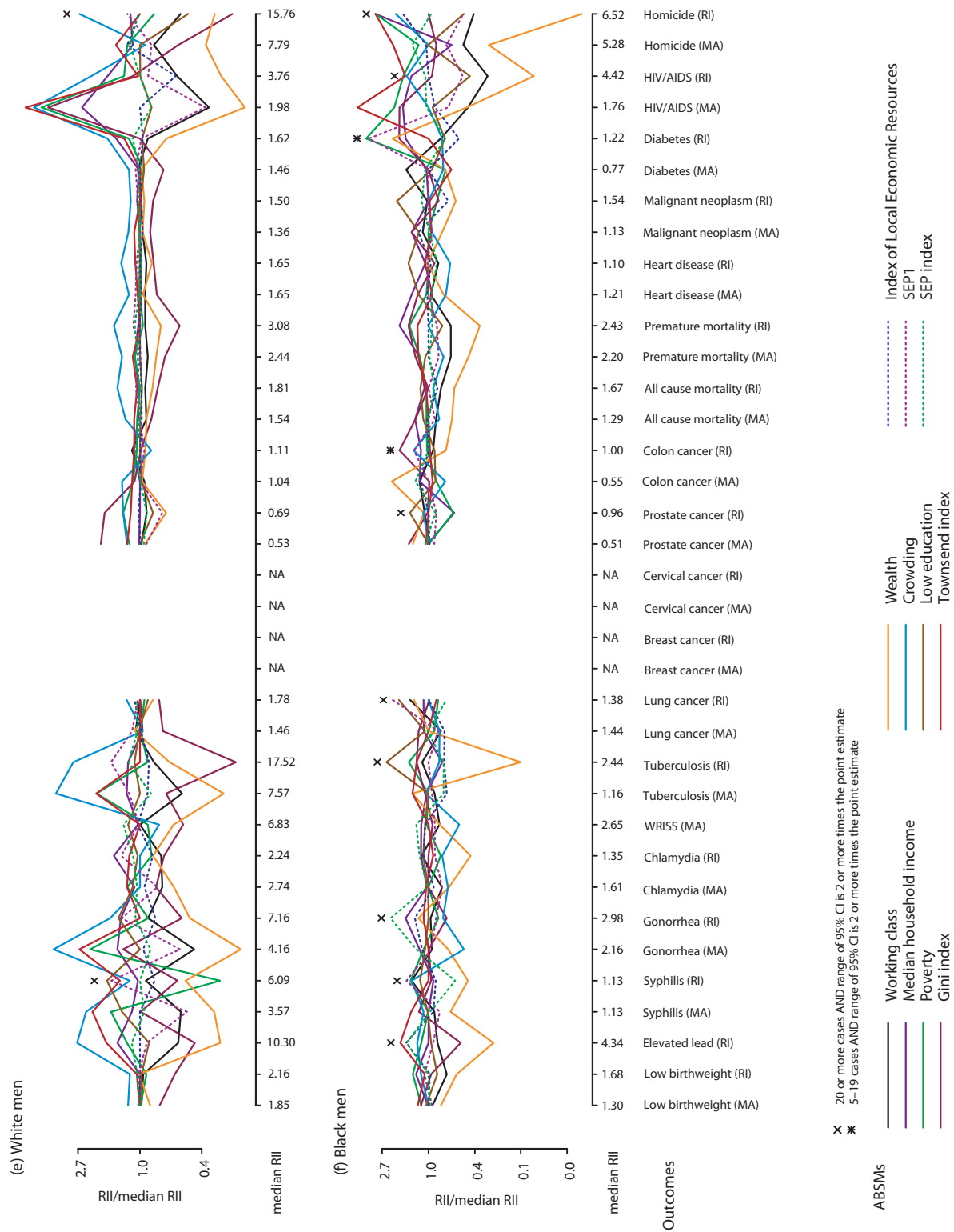


FIGURE 1—Continued.

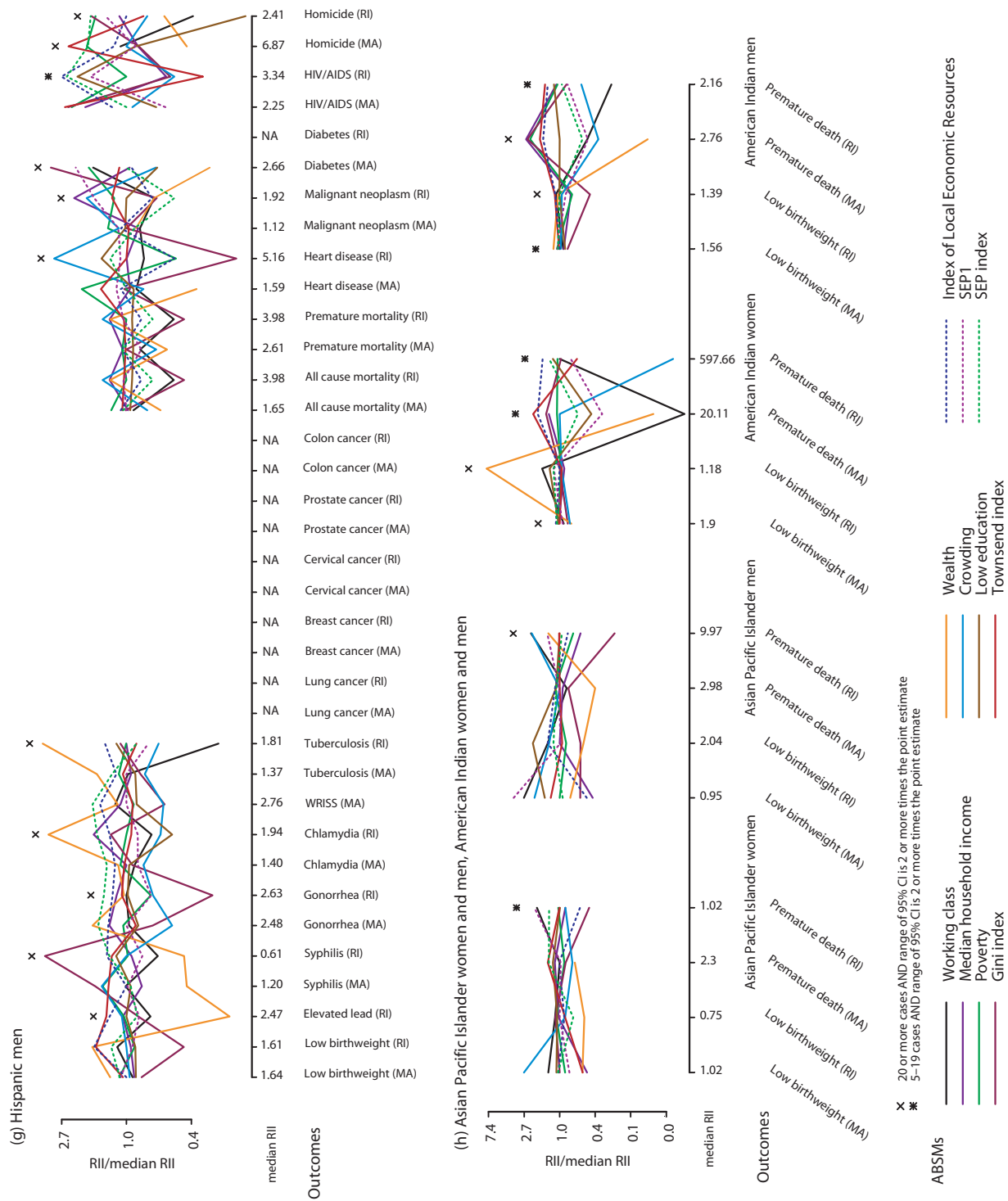


FIGURE 1—Continued.

any research using areal measures, at issue is whether the areas and contingent areal measures are meaningful entities^{51,71,77}; in the case of monitoring, this translates to whether the areas and ABSMs are apt for characterizing the social contours of the population burden of disease.^{15,24–26} In the case of census tracts, not only are initial boundaries delineated to demarcate relatively economically homogeneous populations, as previously noted,^{45(pG-10,G-11)} but these administrative areas also affect residents' lives because they are used by federal, state, and local governments to plan programs and allocate resources—for example, to define “urban empowerment zones,” to designate “medically underserved areas,” and to delimit city neighborhoods served by public health agencies.

A third related concern is whether ABSMs meaningfully measure conditions experienced by all persons residing in the specified area, especially in areas marked by socioeconomic heterogeneity.^{21,49,71} Indeed, it was this concern which led us to investigate whether use of different geographic areas matters, and whether different patterns are seen for members of diverse race/ethnicity–gender groups. Our principal finding—that census tract and block group ABSMs yield similar parameter estimates, whereas zip code estimates are less consistent—importantly held, however, for both the total population and specified subpopulations. These results are consonant with other studies, especially in the United Kingdom, and support the view that one advantage of ABSMs is that they can be applied equally to all persons, regardless of age, gender, and employment status, thereby avoiding well-known problems associated with occupation- and education-based measures—that is, how to classify people not in the paid-labor force (children, housewives or househusbands, unemployed or retired persons) or who have not yet completed their education (school-age children and young adults).^{6,15,21,53,78}

Concerns about ABSMs and the evidence addressing them thus suggests that while use of ABSMs for public health monitoring requires judicious interpretation, the information gained can usefully offset current gaps in knowledge due to the absence of socioeconomic data in most US public health surveillance systems. With these data, it becomes

feasible to monitor socioeconomic gradients within diverse race/ethnicity–gender groups; by extension, the magnitude of race/ethnicity–gender inequality in health within specified economic strata could be assessed—as could the contribution of economic deprivation to racial/ethnic and gender disparities in health.^{12,15}

For example, data in our study provided noteworthy evidence that economic gradients were steepest in the White population and shallowest in the Black population. Far from suggesting that economic inequality is less of a concern for African Americans' health, these findings chiefly resulted from absolute rates being higher among Black compared with White Americans in areas with the most resources. In addition to underscoring that both absolute and relative rates must be considered when evaluating health disparities,^{65,79,80} these results emphasize why analyses of racial/ethnic inequalities in health need to take into account economic disparities and, conversely, why analyses of economic inequalities in health need to take into account racial/ethnic disparities.^{13,14,81}

Relevant to future use of ABSMs are 2 additional considerations. The first is that starting with the year 2000 census, zip code–level data are no longer available and databases with only zip codes cannot be linked to census data absent geocoding to some other geographic level for which census data are available.⁴⁸ Prompting this change were “difficulties in precisely defining the land area covered by each ZIP Code,”⁸² leading the US Census Bureau to create a new statistical entity built from census blocks: the 5-digit Zip Code Tabulation Area (ZCTA).⁸³ Since ZCTAs and zip codes sharing the same 5-digit code may not necessarily cover the same area,⁸³ zip codes obtained by self-report or from addresses in medical records cannot be assumed to correspond to census-defined ZCTAs.⁴⁸

Second, regarding the timeliness of census data, pending authorization and funding by Congress, the decennial census long form (source of the socioeconomic data for the ABSMs) is scheduled to be replaced by the annual American Community Survey, which will provide yearly sociodemographic estimates at the national, state, and other geo-

graphic levels.⁸⁴ Data at the census tract level are anticipated to be released starting in 2008, employing annual estimates based on 5-year rolling averages; less certainly, block group–level data may also be released starting in 2009 (C. Richard, senior program analyst, American Community Survey, oral communication, January 8, 2003). Presumably, a similar methodology, employing 5-year rolling averages, could also be used for health data among smaller population subgroups, thereby enabling the routine monitoring of socioeconomic inequalities in health among all race/ethnicity–gender groups, assuming that concerns about validity and consistency of racial/ethnic data across public health data systems could be addressed.^{14, 85}

In conclusion, results of our study highlight the importance of multilevel frameworks, including ecosocial theory, for public health research and practice.^{22,23,68–72,86–89} Tellingly, were data constrained only to the individual level, we would remain without any practical solution for improving routine monitoring of socioeconomic inequalities in the United States, other than continuing to advocate for inclusion of individual-level socioeconomic data in diverse public health surveillance systems. Even if it were possible to overcome resistance to including such measures^{5,90}—let alone ensure use of identical measures across diverse databases to enable meaningful comparison—it would still not be possible to monitor secular trends in socioeconomic inequalities in health, owing to the absence of the individual-level socioeconomic data from previous years. By expanding the levels of analysis to include characteristics of areas in which people reside, it is instead possible to envision—and test—an alternative solution, that of geocoding and using ABSMs. By the same logic, further elaboration of multilevel frameworks and methods is likely to aid efforts to understand and address the persistent problem of social inequalities in health in the United States.^{22,23,68–72,86–91} One way to begin is by ensuring that the magnitude of these disparities is duly and routinely monitored, rather than hidden from view. We suggest that this can be accomplished by geocoding US public health surveillance systems and using the census tract–level measure “percentage of persons below poverty.” ■

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Contributors

N. Krieger conceived and designed the study, directed data analysis, and led the writing. J. T. Chen contributed to the study design, led the data analysis, and assisted with manuscript preparation. P. D. Waterman contributed to the study design, secured the data, and assisted with data analysis, interpretation, and manuscript preparation. D. H. Rehkopf assisted with the study and analyses. S. V. Subramanian assisted with the study design and data interpretation. All authors helped to conceptualize ideas, interpret findings, and review drafts of the manuscript.

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